

PATENT

Attorney Docket No. 746200-000062 (58771.000012)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of :

Jerome AUCOUTURIER et al.

Serial No.: 09/698,121

Filed: October 30, 2000

Group Art Unit: 1614

Examiner: To Be Assigned

For: NOVEL VACCINE COMPOSITION AND USE OF SURFACTANTS AS  
ADJUVANTS OF IMMUNITY

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are enclosed for consideration in the above-identified application:

	FEE
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts, copy of Notice to File Missing Parts (\$710 + \$130)	\$ 840.00
<input type="checkbox"/> Response to Office Action	\$
<input checked="" type="checkbox"/> Declaration: <input checked="" type="checkbox"/> Original; <input type="checkbox"/> Supplemental; Assignment <input checked="" type="checkbox"/> ; Assignment Recordation Sheet <input checked="" type="checkbox"/> (\$40)	\$ 40.00
<input type="checkbox"/> Submission of Formal Drawings	\$
<input type="checkbox"/> Informal Drawings: ___ Sheets ___ Figures	\$
<input type="checkbox"/> Information Disclosure Statement, Form PTO-1449, references <input type="checkbox"/>	\$
<input checked="" type="checkbox"/> Amendment: <input checked="" type="checkbox"/> Preliminary; <input type="checkbox"/> § 116; <input type="checkbox"/> § 312; <input type="checkbox"/> Other	\$
<input type="checkbox"/> Request for Extension of Time	\$
<input type="checkbox"/> Issue Fee: <input type="checkbox"/> Part B - Issue Fee Transmittal <input type="checkbox"/> Part C - Charge to Deposit Account	\$
<input type="checkbox"/> Notice of Appeal	\$
<input type="checkbox"/> Appeal Brief	\$
<input type="checkbox"/> Request for Oral Hearing	\$
<input type="checkbox"/> Reply Brief	\$
<input type="checkbox"/> Terminal Disclaimer	\$
<input type="checkbox"/> No additional claim fee is required	\$
<input checked="" type="checkbox"/> An additional claim fee is required, and is calculated as shown below	\$

	Claims Remaining	Claims Paid For	Extra	Rate	Fee
Total Claims	32	20	12	x \$18.00	\$ 216.00
Independent Claims	4	3	1	x \$78.00	\$ 78.00
Multiple Dependent Claims (if applicable)				\$ 260.00	\$
<b>TOTAL EXCESS CLAIMS FEE</b>					\$294.00
<b>TOTAL FEES BEING SUBMITTED</b>					\$1174.00

A check in the amount of \$1174.00 is submitted herewith. The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and § 1.17 associated with this communication or credit any overpayment to the deposit account of Hunton & Williams, Deposit Account Number 50-0206. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

Dated: March 2, 2001

By:



Robert M. Schulman  
Registration No. 31,196  
Paul D. Golian  
Registration No. 42,591

HUNTON & WILLIAMS  
1900 K Street, N.W.  
Suite 1200  
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PATENT  
Attorney Docket No. 746200-000062 (58771.000012)

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**RESPONSE TO NOTICE TO FILE MISSING PARTS AND  
RECORDATION OF ASSIGNMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the attached U.S. Patent and Trademark Office "Notice to File Missing Parts of Nonprovisional Application" mailed February 9, 2001, Applicants are submitting herewith an executed Joint Declaration and Joint Assignment for filing in the cited patent application, along with the required fee for late filing of the Declaration. Accordingly, it is respectfully requested that a filing date of October 30, 2000 be granted to this application.

Submitted herewith is a check totaling \$1,174.00 (\$710.00 to cover the basic filing fee, \$130.00 to cover the filing of the enclosed late Declaration, \$216.00 for 12 total claims over 20, \$78.00 for 1 independent claim over 3, and \$40.00 to cover the Assignment Recordation fee). Any deficiency in or overpayment of this fee should be charged or credited to Deposit Account No. 50-0206.

**SUBMISSION OF ASSIGNMENT FOR RECORDATION**

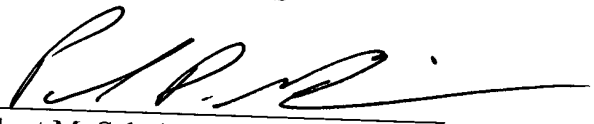
Further, Applicants are submitting an executed Assignment document and Assignment Recordation cover sheet for recordal in the Patent Office. The Assignment Recordation fee of \$40.00 is included in the attached check.

Respectfully submitted,

HUNTON & WILLIAMS

Date: March 2, 2001

By:



Robert M. Schulman  
Registration No. 31,196  
Paul D. Golian  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

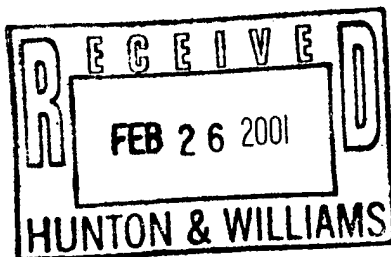


COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/698,121	10/30/2000	Jerome Aucouturier	746200-000062

22204  
NIXON PEABODY, LLP  
8180 GREENSBORO DRIVE  
SUITE 800  
MCLEAN, VA 22102



## FORMALITIES LETTER



\*OC000000005753042\*

Date Mailed: 02/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$198.  
    ■ \$198 for 11 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 1038.

03/05/2001 SSESHE1 00000149 500206 09698121

01 FC:101	710.00 OP
02 FC:102	60.00 OP
03 FC:103	216.00 OP
04 FC:105	130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

